

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/777,224	02/13/2004	Harald Hirschmann	MERCK-2839	2499
23599	23599 7590 10/19/2005		EXAMINER	
•	/HITE, ZELANO & E	WU, SHEAN CHIU		
SUITE 1400	NDON BLVD.		ART UNIT	PAPER NUMBER
ARLINGTO	ARLINGTON, VA 22201			

DATE MAILED: 10/19/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)			
Office Action Summary		10/777,224	HIRSCHMANN ET AL.			
		Examiner	Art Unit			
		Shean C. Wu	1756			
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
2a)⊠	Responsive to communication(s) filed on <u>28 S</u> This action is <b>FINAL</b> . 2b) This Since this application is in condition for allowar closed in accordance with the practice under E	s action is non-final. nce except for formal matters, pro				
Diamagiti						
	on of Claims					
5)⊠ 6)⊠ 7)⊠	Claim(s) 1-21 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  Claim(s) 20 and 21 is/are allowed.  Claim(s) 1-3,5,6 and 8-19 is/are rejected.  Claim(s) 4 and 7 is/are objected to.  Claim(s) are subject to restriction and/or election requirement.					
Application Papers						
<ul> <li>9) The specification is objected to by the Examiner.</li> <li>10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).</li> <li>11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.</li> </ul>						
Priority u	inder 35 U.S.C. § 119		·			
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) □ All b) □ Some * c) ☒ None of:  1. ☒ Certified copies of the priority documents have been received.  2. □ Certified copies of the priority documents have been received in Application No  3. □ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.						
2)  Notic 3) Inform	t(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) r No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:				

Application/Control Number: 10/777,224 Page 2

Art Unit: 1756

#### **DETAILED ACTION**

## Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 1-3, 5-6, 8-10, 12-13, 15-17 and 19 are rejected under 35 U.S.C. 102(b) as being anticipated by Andou et al. (US 6,007,740).

The reference discloses that novel compounds represented by formula (I) are useful for liquid crystal display modes, e.g. TN, STN and TFT. The reference compounds have good compatibility with other liquid crystalline compound, high dielectric anisotropy, suitable refractive anisotropy, low viscosity, high response speed, low threshold voltage, physical and chemical stability, wide nematic temperature range and superior compatibility with other liquid crystals.

The Use Example 24 of the reference on col. 259 comprising 3-HHCF2OB(F,F)-F (corresponding A-2), 1V2-BEB(F,F)-C (corresponding B-2), 3-HB-C (corresponding IIIb), and BTB core structure (corresponding T2a) anticipates the present claims 1-3, 8-10, 12-13, 15-17 and 19.

The Use Example 26 of the reference on col. 259-260 comprising 3-HHCF2OB(F,F)-F (corresponding A-2), 3-BEB(F,F)-C (corresponding B-1), HB-C core structure (corresponding IIIb), and HH-2V and V2-HHB core structure (corresponding IV and IV') anticipates the present claims 1-3, 5-6, 9-10, 12-13, 15-17 and 19.

Application/Control Number: 10/777,224 Page 3

Art Unit: 1756

The above reference examples anticipate the claimed invention.

### Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claims 11, 14 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Andou et al above.

The reference differs from the claims in that the claims have more specific display elements, which the reference does not specify. However, the present TN or STN liquid crystal display is well known in the art, it would have been obvious to those skilled in the art to utilize such known display by using the reference media to arrive at the claimed invention.

With respect to claim 11, the reference compounds having high dielectric anisotropy (see examples 13, 20 on col. 248), it would have been obvious to optimize the reference compound of formula (I) to have high dielectric anisotropy as claim does.

With respect to claim 18, it would also been obvious to modify the reference compound of formula A-2 by adjusting chain-length of the left terminal to have three homologous compounds of A-2 to arrive at the claimed medium.

Application/Control Number: 10/777,224 Page 4

Art Unit: 1756

#### Allowable Subject Matter

5. Claims 20 and 21 are allowed.

6. Claims 4 and 7 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### Response to Arguments

- 7. Applicant's arguments filed 9/28/05, with respect to the rejections of claims in the previous office action under Heckmeier et al have been fully considered and are persuasive.

  Therefore, the rejection has been withdrawn. However, upon further consideration, new grounds of rejection are made in view of the rejections cited above.
- 8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

Application/Control Number: 10/777,224

Art Unit: 1756

however, will the statutory period for reply expire later than SIX MONTHS from the date of this

final action.

9. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Shean C. Wu whose telephone number is 571-272-1393. The

examiner can normally be reached on 9:30 AM to 6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Mark Huff can be reached on 571-272-1385. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Primary Examiner

Page 5

Art Unit 1756

scw